

# A numerically explicit Burgess inequality and an application to quadratic non-residues

Enrique Treviño

Swarthmore College

AMS Sectional Meeting Akron, OH  
October 21, 2012



# Squares

Consider the sequence

$$2, 5, 8, 11, 14, 17, 20, 23, 26, 29, \dots$$

Can it contain any squares?

- Every positive integer  $n$  falls in one of three categories:  
 $n \equiv 0, 1$  or  $2 \pmod{3}$ .
- If  $n \equiv 0 \pmod{3}$ , then  $n^2 \equiv 0^2 = 0 \pmod{3}$ .
- If  $n \equiv 1 \pmod{3}$ , then  $n^2 \equiv 1^2 = 1 \pmod{3}$ .
- If  $n \equiv 2 \pmod{3}$ , then  $n^2 \equiv 2^2 = 4 \equiv 1 \pmod{3}$ .

# Squares

Consider the sequence

$$2, 5, 8, 11, 14, 17, 20, 23, 26, 29, \dots$$

Can it contain any squares?

- Every positive integer  $n$  falls in one of three categories:  
 $n \equiv 0, 1$  or  $2 \pmod{3}$ .
- If  $n \equiv 0 \pmod{3}$ , then  $n^2 \equiv 0^2 = 0 \pmod{3}$ .
- If  $n \equiv 1 \pmod{3}$ , then  $n^2 \equiv 1^2 = 1 \pmod{3}$ .
- If  $n \equiv 2 \pmod{3}$ , then  $n^2 \equiv 2^2 = 4 \equiv 1 \pmod{3}$ .

# Squares

Consider the sequence

$$2, 5, 8, 11, 14, 17, 20, 23, 26, 29, \dots$$

Can it contain any squares?

- Every positive integer  $n$  falls in one of three categories:  
 $n \equiv 0, 1 \text{ or } 2 \pmod{3}$ .
- If  $n \equiv 0 \pmod{3}$ , then  $n^2 \equiv 0^2 = 0 \pmod{3}$ .
- If  $n \equiv 1 \pmod{3}$ , then  $n^2 \equiv 1^2 = 1 \pmod{3}$ .
- If  $n \equiv 2 \pmod{3}$ , then  $n^2 \equiv 2^2 = 4 \equiv 1 \pmod{3}$ .

# Squares

Consider the sequence

$$2, 5, 8, 11, 14, 17, 20, 23, 26, 29, \dots$$

Can it contain any squares?

- Every positive integer  $n$  falls in one of three categories:  
 $n \equiv 0, 1$  or  $2 \pmod{3}$ .
- If  $n \equiv 0 \pmod{3}$ , then  $n^2 \equiv 0^2 = 0 \pmod{3}$ .
- If  $n \equiv 1 \pmod{3}$ , then  $n^2 \equiv 1^2 = 1 \pmod{3}$ .
- If  $n \equiv 2 \pmod{3}$ , then  $n^2 \equiv 2^2 = 4 \equiv 1 \pmod{3}$ .

# Squares

Consider the sequence

$$2, 5, 8, 11, 14, 17, 20, 23, 26, 29, \dots$$

Can it contain any squares?

- Every positive integer  $n$  falls in one of three categories:  
 $n \equiv 0, 1$  or  $2 \pmod{3}$ .
- If  $n \equiv 0 \pmod{3}$ , then  $n^2 \equiv 0^2 = 0 \pmod{3}$ .
- If  $n \equiv 1 \pmod{3}$ , then  $n^2 \equiv 1^2 = 1 \pmod{3}$ .
- If  $n \equiv 2 \pmod{3}$ , then  $n^2 \equiv 2^2 = 4 \equiv 1 \pmod{3}$ .

# Quadratic Residues and non-residues

Let  $n$  be a positive integer. For  $q \in \{0, 1, 2, \dots, n-1\}$ , we call  $q$  a quadratic residue mod  $n$  if there exists an integer  $x$  such that  $x^2 \equiv q \pmod{n}$ . Otherwise we call  $q$  a quadratic non-residue.

- For  $n = 3$ , the quadratic residues are  $\{0, 1\}$  and the non-residue is 2.
- For  $n = 5$ , the quadratic residues are  $\{0, 1, 4\}$  and the non-residues are  $\{2, 3\}$ .
- For  $n = 7$ , the quadratic residues are  $\{0, 1, 2, 4\}$  and the non-residues are  $\{3, 5, 6\}$ .
- For  $n = p$ , an odd prime, there are  $\frac{p+1}{2}$  quadratic residues and  $\frac{p-1}{2}$  non-residues.

## Least non-residue

How big can the least non-residue be?

$p$	Least non-residue
3	2
7	3
23	5
71	7
311	11
479	13
1559	17
5711	19
10559	23
18191	29
31391	31
366791	37



# Heuristics

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Let  $p_i$  be the  $i$ -th prime, i.e,  $p_1 = 2, p_2 = 3, \dots$

- $\#\{p \leq x \mid g(p) = 2\} \approx \frac{\pi(x)}{2}$ .
- $\#\{p \leq x \mid g(p) = 3\} \approx \frac{\pi(x)}{4}$ .
- $\#\{p \leq x \mid g(p) = p_k\} \approx \frac{\pi(x)}{2^k}$ .
- If  $k = \log \pi(x) / \log 2$  you would expect only one prime satisfying  $g(p) = p_k$ .
- Then we want  $k \approx C \log x$ , and since  $p_k \sim k \log k$  we have  $g(x) \approx C \log x \log \log x$ .

# Heuristics

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Let  $p_i$  be the  $i$ -th prime, i.e,  $p_1 = 2, p_2 = 3, \dots$

- $\#\{p \leq x \mid g(p) = 2\} \approx \frac{\pi(x)}{2}$ .
- $\#\{p \leq x \mid g(p) = 3\} \approx \frac{\pi(x)}{4}$ .
- $\#\{p \leq x \mid g(p) = p_k\} \approx \frac{\pi(x)}{2^k}$ .
- If  $k = \log \pi(x) / \log 2$  you would expect only one prime satisfying  $g(p) = p_k$ .
- Then we want  $k \approx C \log x$ , and since  $p_k \sim k \log k$  we have  $g(x) \approx C \log x \log \log x$ .

# Heuristics

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Let  $p_i$  be the  $i$ -th prime, i.e,  $p_1 = 2, p_2 = 3, \dots$

- $\#\{p \leq x \mid g(p) = 2\} \approx \frac{\pi(x)}{2}$ .
- $\#\{p \leq x \mid g(p) = 3\} \approx \frac{\pi(x)}{4}$ .
- $\#\{p \leq x \mid g(p) = p_k\} \approx \frac{\pi(x)}{2^k}$ .
- If  $k = \log \pi(x) / \log 2$  you would expect only one prime satisfying  $g(p) = p_k$ .
- Then we want  $k \approx C \log x$ , and since  $p_k \sim k \log k$  we have  $g(x) \approx C \log x \log \log x$ .

# Heuristics

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Let  $p_i$  be the  $i$ -th prime, i.e,  $p_1 = 2, p_2 = 3, \dots$ .

- $\#\{p \leq x \mid g(p) = 2\} \approx \frac{\pi(x)}{2}$ .
- $\#\{p \leq x \mid g(p) = 3\} \approx \frac{\pi(x)}{4}$ .
- $\#\{p \leq x \mid g(p) = p_k\} \approx \frac{\pi(x)}{2^k}$ .
- If  $k = \log \pi(x) / \log 2$  you would expect only one prime satisfying  $g(p) = p_k$ .
- Then we want  $k \approx C \log x$ , and since  $p_k \sim k \log k$  we have  $g(x) \approx C \log x \log \log x$ .

# Heuristics

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Let  $p_i$  be the  $i$ -th prime, i.e,  $p_1 = 2, p_2 = 3, \dots$

- $\#\{p \leq x \mid g(p) = 2\} \approx \frac{\pi(x)}{2}$ .
- $\#\{p \leq x \mid g(p) = 3\} \approx \frac{\pi(x)}{4}$ .
- $\#\{p \leq x \mid g(p) = p_k\} \approx \frac{\pi(x)}{2^k}$ .
- If  $k = \log \pi(x) / \log 2$  you would expect only one prime satisfying  $g(p) = p_k$ .
- Then we want  $k \approx C \log x$ , and since  $p_k \sim k \log k$  we have  $g(x) \approx C \log x \log \log x$ .

# Heuristics

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Let  $p_i$  be the  $i$ -th prime, i.e,  $p_1 = 2, p_2 = 3, \dots$ .

- $\#\{p \leq x \mid g(p) = 2\} \approx \frac{\pi(x)}{2}$ .
- $\#\{p \leq x \mid g(p) = 3\} \approx \frac{\pi(x)}{4}$ .
- $\#\{p \leq x \mid g(p) = p_k\} \approx \frac{\pi(x)}{2^k}$ .
- If  $k = \log \pi(x) / \log 2$  you would expect only one prime satisfying  $g(p) = p_k$ .
- Then we want  $k \approx C \log x$ , and since  $p_k \sim k \log k$  we have  $g(x) \approx C \log x \log \log x$ .

# Theorems on the least quadratic non-residue mod $p$

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Our conjecture is

$$g(p) = O(\log p \log \log p).$$

- Under GRH, Bach showed  $g(p) \leq 2 \log^2 p$ .
- Unconditionally, Burgess showed  $g(p) \ll_{\epsilon} p^{\frac{1}{4\sqrt{e}} + \epsilon}$ .
- $\frac{1}{4\sqrt{e}} \approx 0.151633$ .
- In the lower bound direction, Graham and Ringrose proved that there are infinitely many  $p$  satisfying  $g(p) \gg \log p \log \log \log p$ , that is

$$g(p) = \Omega(\log p \log \log \log p).$$

# Theorems on the least quadratic non-residue mod $p$

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Our conjecture is

$$g(p) = O(\log p \log \log p).$$

- Under GRH, Bach showed  $g(p) \leq 2 \log^2 p$ .
- Unconditionally, Burgess showed  $g(p) \ll_{\epsilon} p^{\frac{1}{4\sqrt{e}} + \epsilon}$ .
- $\frac{1}{4\sqrt{e}} \approx 0.151633$ .
- In the lower bound direction, Graham and Ringrose proved that there are infinitely many  $p$  satisfying  $g(p) \gg \log p \log \log \log p$ , that is

$$g(p) = \Omega(\log p \log \log \log p).$$



Theorems on the least quadratic non-residue mod  $p$ 

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Our conjecture is

$$g(p) = O(\log p \log \log p).$$

- Under GRH, Bach showed  $g(p) \leq 2 \log^2 p$ .
- Unconditionally, Burgess showed  $g(p) \ll_{\epsilon} p^{\frac{1}{4\sqrt{e}} + \epsilon}$ .
- $\frac{1}{4\sqrt{e}} \approx 0.151633$ .
- In the lower bound direction, Graham and Ringrose proved that there are infinitely many  $p$  satisfying  $g(p) \gg \log p \log \log \log p$ , that is

$$g(p) = \Omega(\log p \log \log \log p).$$

Theorems on the least quadratic non-residue mod  $p$ 

Let  $g(p)$  be the least quadratic non-residue mod  $p$ . Our conjecture is

$$g(p) = O(\log p \log \log p).$$

- Under GRH, Bach showed  $g(p) \leq 2 \log^2 p$ .
- Unconditionally, Burgess showed  $g(p) \ll_{\epsilon} p^{\frac{1}{4\sqrt{e}} + \epsilon}$ .
- $\frac{1}{4\sqrt{e}} \approx 0.151633$ .
- In the lower bound direction, Graham and Ringrose proved that there are infinitely many  $p$  satisfying  $g(p) \gg \log p \log \log \log p$ , that is

$$g(p) = \Omega(\log p \log \log \log p).$$

# History

The first breakthrough came in 1914 with some clever ideas from I.M. Vinogradov. Consider the function  $\chi$  where  $\chi(a)$  is 1 if  $a$  is a nonzero quadratic residue mod  $p$ ,  $-1$  if its a non-residue and 0 for  $a = 0$ .  $\chi$  is then a primitive Dirichlet character mod  $p$ .

- Vinogradov noted that if  $\sum_{1 \leq a \leq n} \chi(a) < n$ , then  $g(p) \leq n$ .
- He then proved  $\sum_{1 \leq a \leq n} \chi(a) < \sqrt{p} \log p$ , which shows that  $g(p) \leq \sqrt{p} \log p$ .
- Then using that  $\chi(ab) = \chi(a)\chi(b)$  he was able to improve this to show the asymptotic inequality  $g(p) \ll p^{\frac{1}{2\sqrt{e}} + \epsilon}$ .

# History

The first breakthrough came in 1914 with some clever ideas from I.M. Vinogradov. Consider the function  $\chi$  where  $\chi(a)$  is 1 if  $a$  is a nonzero quadratic residue mod  $p$ ,  $-1$  if its a non-residue and 0 for  $a = 0$ .  $\chi$  is then a primitive Dirichlet character mod  $p$ .

- Vinogradov noted that if  $\sum_{1 \leq a \leq n} \chi(a) < n$ , then  $g(p) \leq n$ .
- He then proved  $\sum_{1 \leq a \leq n} \chi(a) < \sqrt{p} \log p$ , which shows that  $g(p) \leq \sqrt{p} \log p$ .
- Then using that  $\chi(ab) = \chi(a)\chi(b)$  he was able to improve this to show the asymptotic inequality  $g(p) \ll p^{\frac{1}{2\sqrt{e}} + \epsilon}$ .

# History

The first breakthrough came in 1914 with some clever ideas from I.M. Vinogradov. Consider the function  $\chi$  where  $\chi(a)$  is 1 if  $a$  is a nonzero quadratic residue mod  $p$ ,  $-1$  if its a non-residue and 0 for  $a = 0$ .  $\chi$  is then a primitive Dirichlet character mod  $p$ .

- Vinogradov noted that if  $\sum_{1 \leq a \leq n} \chi(a) < n$ , then  $g(p) \leq n$ .
- He then proved  $\sum_{1 \leq a \leq n} \chi(a) < \sqrt{p} \log p$ , which shows that  $g(p) \leq \sqrt{p} \log p$ .
- Then using that  $\chi(ab) = \chi(a)\chi(b)$  he was able to improve this to show the asymptotic inequality  $g(p) \ll p^{\frac{1}{2\sqrt{e}} + \epsilon}$ .

# History

The first breakthrough came in 1914 with some clever ideas from I.M. Vinogradov. Consider the function  $\chi$  where  $\chi(a)$  is 1 if  $a$  is a nonzero quadratic residue mod  $p$ ,  $-1$  if its a non-residue and 0 for  $a = 0$ .  $\chi$  is then a primitive Dirichlet character mod  $p$ .

- Vinogradov noted that if  $\sum_{1 \leq a \leq n} \chi(a) < n$ , then  $g(p) \leq n$ .
- He then proved  $\sum_{1 \leq a \leq n} \chi(a) < \sqrt{p} \log p$ , which shows that  $g(p) \leq \sqrt{p} \log p$ .
- Then using that  $\chi(ab) = \chi(a)\chi(b)$  he was able to improve this to show the asymptotic inequality  $g(p) \ll p^{\frac{1}{2\sqrt{e}} + \varepsilon}$ .

It took almost 50 years before the next breakthrough. It came from the following theorem of Burgess:

### Theorem (Burgess, 1962)

Let  $\chi$  be a primitive character mod  $q$ , where  $q > 1$ ,  $r$  is a positive integer and  $\epsilon > 0$  is a real number. Then

$$|S_{\chi}(M, N)| = \left| \sum_{M < n \leq M+N} \chi(n) \right| \ll N^{1 - \frac{1}{r}} q^{\frac{r+1}{4r^2} + \epsilon}$$

for  $r = 1, 2, 3$  and for any  $r \geq 1$  if  $q$  is cubefree, the implied constant depending only on  $\epsilon$  and  $r$ .

# Explicit Burgess

## Theorem (Iwaniec-Kowalski-Friedlander)

Let  $\chi$  be a non-principal Dirichlet character mod  $p$  (a prime). Let  $M$  and  $N$  be non-negative integers with  $N \geq 1$  and let  $r \geq 2$ , then

$$|S_\chi(M, N)| \leq 30 \cdot N^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} (\log p)^{\frac{1}{r}}.$$

## Theorem (ET, 2012)

Let  $p$  be a prime. Let  $\chi$  be a non-principal Dirichlet character mod  $p$ . Let  $M$  and  $N$  be non-negative integers with  $N \geq 1$  and let  $r$  be a positive integer. Then for  $p \geq 10^7$ , we have

$$|S_\chi(M, N)| \leq 2.71 N^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} (\log p)^{\frac{1}{r}}.$$



# Explicit Burgess

## Theorem (Iwaniec-Kowalski-Friedlander)

Let  $\chi$  be a non-principal Dirichlet character mod  $p$  (a prime). Let  $M$  and  $N$  be non-negative integers with  $N \geq 1$  and let  $r \geq 2$ , then

$$|S_\chi(M, N)| \leq 30 \cdot N^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} (\log p)^{\frac{1}{r}}.$$

## Theorem (ET, 2012)

Let  $p$  be a prime. Let  $\chi$  be a non-principal Dirichlet character mod  $p$ . Let  $M$  and  $N$  be non-negative integers with  $N \geq 1$  and let  $r$  be a positive integer. Then for  $p \geq 10^7$ , we have

$$|S_\chi(M, N)| \leq 2.71 N^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} (\log p)^{\frac{1}{r}}.$$

# A Corollary

## Theorem (ET)

*Let  $g(p)$  be the least quadratic nonresidue mod  $p$ . Let  $p$  be a prime greater than  $10^{4685}$ , then  $g(p) < p^{1/6}$ .*

# Other Applications of the Explicit Estimates

- Booker computed the class number of a 32-digit discriminant using an explicit estimate of a character sum.
- McGown proved that there is no norm-Euclidean cubic field with discriminant  $> 10^{140}$ .
- Levin and Pomerance proved a conjecture of Brizolis that for every prime  $p > 3$  there is a primitive root  $g$  and an integer  $x \in [1, p - 1]$  with  $\log_g x = x$ , that is,  $g^x \equiv x \pmod{p}$ .

# Other Applications of the Explicit Estimates

- Booker computed the class number of a 32-digit discriminant using an explicit estimate of a character sum.
- McGown proved that there is no norm-Euclidean cubic field with discriminant  $> 10^{140}$ .
- Levin and Pomerance proved a conjecture of Brizolis that for every prime  $p > 3$  there is a primitive root  $g$  and an integer  $x \in [1, p - 1]$  with  $\log_g x = x$ , that is,  $g^x \equiv x \pmod{p}$ .

# Other Applications of the Explicit Estimates

- Booker computed the class number of a 32-digit discriminant using an explicit estimate of a character sum.
- McGown proved that there is no norm-Euclidean cubic field with discriminant  $> 10^{140}$ .
- Levin and Pomerance proved a conjecture of Brizolis that for every prime  $p > 3$  there is a primitive root  $g$  and an integer  $x \in [1, p - 1]$  with  $\log_g x = x$ , that is,  $g^x \equiv x \pmod{p}$ .

# Vinogradov's Trick

## Lemma

Let  $x \geq 259$  be a real number, and let  $y = x^{1/\sqrt{e}+\delta}$  for some  $\delta > 0$ . Let  $\chi$  be a non-principal Dirichlet character mod  $p$  for some prime  $p$ . If  $\chi(n) = 1$  for all  $n \leq y$ , then

$$\sum_{n \leq x} \chi(n) \geq x \left( 2 \log(\delta \sqrt{e} + 1) - \frac{4}{\log^2 x} - \frac{1}{\log^2 y} - \frac{1}{x} - \frac{2}{\log x} \right).$$

## Proof.

$$\sum_{n \leq x} \chi(n) = \sum_{n \leq x} 1 - 2 \sum_{\substack{y < q \leq x \\ \chi(q) = -1}} \sum_{n \leq \frac{x}{q}} 1,$$

where the sum ranges over  $q$  prime. Therefore we have

$$\sum_{n \leq x} \chi(n) \geq [x] - 2 \sum_{y < q \leq x} \left\lfloor \frac{x}{q} \right\rfloor \geq x - 1 - 2x \sum_{y < q \leq x} \frac{1}{q} - 2 \sum_{y < q \leq x} 1.$$



# Proof of Main Corollary

Let  $x \geq 259$  be a real number and let  $y = x^{\frac{1}{\sqrt{e} + \delta}} = p^{1/6}$  for some  $\delta > 0$ . Assume that  $\chi(n) = 1$  for all  $n \leq y$ . Now we have

$$2.71 x^{1 - \frac{1}{r}} p^{\frac{r+1}{4r^2}} (\log p)^{\frac{1}{r}} \geq x \left( 2 \log(\delta \sqrt{e} + 1) - \frac{4}{\log^2 x} - \frac{1}{\log^2 y} - \frac{1}{x} - \frac{2}{\log x} \right).$$

Now, letting  $x = p^{\frac{1}{4} + \frac{1}{2r}}$  we get

$$2.71 p^{\frac{\log \log p}{r \log p} - \frac{1}{4r^2}} \geq 2 \log(\delta \sqrt{e} + 1) - \frac{4}{\log^2 x} - \frac{1}{\log^2 y} - \frac{1}{x} - \frac{2}{\log x}. \quad (1)$$

Picking  $r = 22$ , one finds that  $\delta = 0.00458 \dots$ . For  $p \geq 10^{4685}$ , the right hand side of (1) is bigger than the left hand side.

# Future Work

- Generalizing to other modulus not just prime modulus.
- Generalizing to Hecke characters.
- Improving McGown's result on norm euclidean cyclic cubic fields.



# Thank you!