The least quadratic non-residue and related problems

Enrique Treviño

Swarthmore College March 1st, 2011

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Modular Arithmetic

In 7 hours it will be 11 : 30 pm, in 8 hours it will be 12 : 30 am, but in 9 it will be 1 : 30 am.

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$$4 + 7 = 11$$

- 4 + 8 = 12
- 4 + 9 = 1
- $4 + 9 \equiv 1 \pmod{12}$
- $a \equiv b \pmod{n}$

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Einstein's Birthday

Albert Einstein was born on March 14, 1879.

- 132 years ago, hence $132 \times 365 = 48180$ days.
- 132/4 = 33 "leap years", hence 33 more days.
- 1900 was not a "leap year" hence -1 day.
- 14 1 days between March 14 and March 1, so –13 days.
- Total Days Ago: 48180 + 33 - 1 - 13 = 48199 ≡ 4 (mod 7).
- Since today is Tuesday, four days ago it was Friday, so Einstein was born on a Friday.
- $365 \times 132 + 33 1 13 \equiv 1 \times 6 + 19 = 25 \equiv 4 \pmod{7}$.

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Consider the sequence

 $2,5,8,11,\ldots$

Can it contain any squares?

- Every positive integer *n* falls in one of three categories: $n \equiv 0, 1 \text{ or } 2 \pmod{3}$.
- If $n \equiv 0 \pmod{3}$, then $n^2 \equiv 0^2 = 0 \pmod{3}$.
- If $n \equiv 1 \pmod{3}$, then $n^2 \equiv 1^2 = 1 \pmod{3}$.
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Squares and non-squares

Let *n* be a positive integer. For $q \in \{0, 1, 2, ..., n-1\}$, we call *q* a square mod *n* if there exists an integer *x* such that $x^2 \equiv q \pmod{n}$. Otherwise we call *q* a non-square.

- For n = 3, the squares are $\{0, 1\}$ and the non-square is 2.
- For *n* = 5, the squares are {0,1,4} and the non-squares are {2,3}.
- For n = 7, the squares are {0, 1, 2, 4} and the non-squares are {3, 5, 6}.
- For n = p, an odd prime, there are $\frac{p+1}{2}$ squares and $\frac{p-1}{2}$ non-squares.

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Least non-square

How big can the least non-square be?

- For the least non-square to be > 2 we need 2 to be a square, therefore p ≡ ±1 (mod 8), hence p = 7 is the first example.
- For the least non-square to be > 3 we need 2 and 3 to be squares, therefore p ≡ ±1 (mod 8) and p ≡ ±1 (mod 12), therefore p ≡ ±1 (mod 24), giving us p = 23 as the first example.
- For the least non-square to be > 5 we need 2, 3 and 5 to be squares, therefore p ≡ ±1 (mod 8), p ≡ ±1 (mod 12) and p ≡ ±1 (mod 5), therefore p ≡ ±1, ±49 (mod 120), giving us p = 71 as the first example.

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Heuristics

Let g(p) be the least non-square mod p. Let p_i be the *i*-th prime, i.e, $p_1 = 2, p_2 = 3, ...$

- $\#\{p \leq x \mid g(p) = 2\} \approx \frac{x}{2}$.
- $\#\{p \leq x \mid g(p) = 3\} \approx \frac{x}{4}$.

• $\#\{p \leq x \mid g(p) = p_k\} \approx \frac{x}{2^k}$.

- If k = log x / log 2 you would expect only one prime satisfying g(p) = pk, so if k is a bit bigger, then you wouldn't expect a prime with such a "large" least non-square.
- Then we want $k \approx C \log x$, and since $p_k \sim k \log k$ we have $g(x) \approx C \log x \log \log x$.

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Let g(p) be the least non-square mod p. Our conjecture is

 $g(p) = O(\log p \log \log p).$

- Under GRH, Bach showed $g(p) \le 2 \log^2 p$.
- Unconditionally, Burgess showed $g(p) \ll_{\epsilon} p^{\frac{1}{4\sqrt{e}}+\epsilon}$.

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$$\frac{1}{4\sqrt{e}} \approx 0.151633.$$

 In the lower bound direction, Graham and Ringrose proved that there are infinitely many *p* satisfying *g*(*p*) ≫ log *p* log log log *p*, that is

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Explicit estimates on the least non-square mod p

Norton showed

$$g(p) \leq \left\{ egin{array}{cc} 3.9 p^{1/4} \log p & ext{if } p \equiv 1 \pmod{4}, \ 4.7 p^{1/4} \log p & ext{if } p \equiv 3 \pmod{4}. \end{array}
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Theorem (ET 2011

Let p > 3 be a prime. Let g(p) be the least non-square modp. Then

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Quadratic fields and inert primes

- Let *d* be a squarefree integer.
- Then $\mathbb{Q}(\sqrt{d})$ is a quadratic field.
- A prime p ∈ Z is inert if it remains prime when it is lifted to the quadratic field.
- For example $\mathbb{Q}(\sqrt{-1}) = \mathbb{Q}(i) = \{a + bi \mid a, b \in \mathbb{Q}\}$. In this field, the inert primes are the primes $p \equiv 3 \pmod{4}$.
- Note that 5 is not prime in Q(i) because (1+2i)(1-2i) = 5. Similarly any prime p ≡ 1 (mod 4) is not prime in Q(i) since p can be written as a² + b² for some a, b ∈ Z and hence p = (a + bi)(a - bi).

Characterization of inert primes in quadratic fields

- The discriminant *D* of a quadratic field $\mathbb{Q}(\sqrt{d})$ is *d* if $d \equiv 1 \pmod{4}$ and 4d otherwise.
- A prime *p* is inert in Q(√*d*) if and only if the Kronecker symbol (*D*/*p*) = −1.
- The Kronecker symbol is a generalization of the Legendre symbol

$$\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } a \text{ is a square mod } p, \\ -1 & \text{if } a \text{ is a non-square mod } p, \\ 0 & \text{if } p \mid a. \end{cases}$$

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The least inert prime in a real quadratic field

Theorem (Granville, Mollin and Williams, 2000)

For any positive fundamental discriminant D > 3705, there is always at least one prime $p \le \sqrt{D}/2$ such that the Kronecker symbol (D/p) = -1.

Theorem (ET, 2010)

For any positive fundamental discriminant D > 1596, there is always at least one prime $p \le D^{0.45}$ such that the Kronecker symbol (D/p) = -1.

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Elements of the Proof

- Use a computer to check the "small" cases. Granville, Mollin and Williams used the Manitoba Scalable Sieving Unit.
- Use analytic techniques to prove it for the "infinite case", i.e. the very large D. The tool used by Granville et al. was the Pólya–Vinogradov inequality. I used a "smoothed" version of it.
- Use Pólya–Vinogradov plus a bit of clever computing to fill in the gap.

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Manitoba Scalable Sieving Unit



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Dirichlet Character

Let *n* be a positive integer.

 $\chi:\mathbb{Z}\to\mathbb{C}$ is a Dirichlet character mod *n* if the following three conditions are satisfied:

- $\chi(a+n) = \chi(a)$ for all $a \in \mathbb{Z}$.
- $\chi(ab) = \chi(a)\chi(b)$ for all $a, b \in \mathbb{Z}$.
- $\chi(a) = 0$ if and only if gcd (a, n) > 1.

Examples of Dirichlet characters are the Legendre symbol and the Kronecker symbol.

Dirichlet Character

Let *n* be a positive integer.

 $\chi: \mathbb{Z} \to \mathbb{C}$ is a Dirichlet character mod *n* if the following three conditions are satisfied:

- $\chi(a+n) = \chi(a)$ for all $a \in \mathbb{Z}$.
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Pólya–Vinogradov

Let χ be a Dirichlet character to the modulus q > 1. Let

$$S(\chi) = \max_{M,N} \left| \sum_{n=M+1}^{M+N} \chi(n) \right|$$

The Pólya–Vinogradov inequality (1918) states that there exists an absolute universal constant *c* such that for any Dirichlet character $S(\chi) \le c\sqrt{q} \log q$.

Under GRH, Montgomery and Vaughan showed that $S(\chi) \ll \sqrt{q} \log \log q$.

Paley showed in 1932 that there are infinitely many quadratic characters such that $S(\chi) \gg \sqrt{q} \log \log q$.

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Explicit Pólya–Vinogradov

Theorem (Hildebrand, 1988)

For χ a primitive character to the modulus q > 1, we have

$$|S(\chi)| \leq \left\{ egin{array}{c} \left(rac{2}{3\pi^2}+o(1)
ight)\sqrt{q}\log q &, \chi ext{ even}, \ \left(rac{1}{3\pi}+o(1)
ight)\sqrt{q}\log q &, \chi ext{ odd}. \end{array}
ight.$$

Theorem (Pomerance, 2009)

For χ a primitive character to the modulus q > 1, we have

$$|S(\chi)| \leq \begin{cases} \frac{2}{\pi^2}\sqrt{q}\log q + \frac{4}{\pi^2}\sqrt{q}\log\log q + \frac{3}{2}\sqrt{q} &, \quad \chi \text{ even}, \\ \frac{1}{2\pi}\sqrt{q}\log q + \frac{1}{\pi}\sqrt{q}\log\log q + \sqrt{q} &, \quad \chi \text{ odd}. \end{cases}$$

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- The explicit estimate on the least quadratic non-residue showed earlier today.
- Booker computed the class number of a 32-digit discriminant using an explicit estimate of a character sum.
- McGown proved that there is no norm-Euclidean cubic field with discriminant $> 10^{70}$.
- Levin and Pomerance proved a conjecture of Brizolis that for every prime p > 3 there is a primitive root g and an integer x ∈ [1, p − 1] with log_g x = x, that is, g^x ≡ x (mod p).

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Smoothed Pólya–Vinogradov

Let M, N be real numbers with $0 < N \le q$, then define $S^*(\chi)$ as follows:

$$S^{*}(\chi) = \max_{M,N} \left| \sum_{M \le n \le M + 2N} \chi(n) \left(1 - \left| \frac{a - M}{N} - 1 \right| \right) \right|$$

Theorem (Levin, Pomerance, Soundararajan, 2009)

Let χ be a primitive character to the modulus q > 1, and let M, N be real numbers with $0 < N \le q$, then

$$S^*(\chi) \leq \sqrt{q} - rac{N}{\sqrt{q}}.$$

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Lower bound for the smoothed Pólya–Vinogradov

Theorem (ET, 2010)

Let χ be a primitive character to the modulus q > 1, and let M, N be real numbers with $0 < N \le q$, then

$$\mathcal{S}^*(\chi) \geq rac{2}{\pi^2}\sqrt{q}.$$

Therefore, the order of magnitude of $S^*(\chi)$ is \sqrt{q} .

Tighter smoothed PV

Theorem (ET, 2010)

Let χ be a primitive character to the modulus q > 1, let M, N be real numbers with $0 < N \le q$. Then

$$\left|\sum_{M\leq n\leq M+2N}\chi(n)\left(1-\left|\frac{n-M}{N}-1\right|\right)\right|\leq \frac{\phi(q)}{q}\sqrt{q}+2^{\omega(q)-1}\frac{N}{\sqrt{q}}.$$

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Applying smoothed PV to the least inert prime problem

Let $\chi(p) = \left(\frac{D}{p}\right)$. Since *D* is a fundamental discriminant, χ is a primitive character of modulus *D*. Consider

$$\mathcal{S}_{\chi}(N) = \sum_{n \leq 2N} \chi(n) \left(1 - \left| \frac{n}{N} - 1 \right| \right).$$

By smoothed PV, we have

$$|\mathcal{S}_{\chi}(\mathcal{N})| \leq rac{\phi(\mathcal{D})}{\mathcal{D}} \sqrt{\mathcal{D}} + 2^{\omega(\mathcal{D})-1} rac{\mathcal{N}}{\sqrt{\mathcal{D}}}.$$

Now,

$$S_{\chi}(N) = \sum_{\substack{n \leq 2N \\ (n,D)=1}} \left(1 - \left|\frac{n}{N} - 1\right|\right) - 2 \sum_{\substack{B$$

• Therefore,

$$\frac{\phi(D)}{D}\sqrt{D}+2^{\omega(D)-1}\frac{N}{\sqrt{D}} \geq \frac{\phi(D)}{D}N-2^{\omega(D)-2}-2\sum_{\substack{n\leq \frac{2N}{B}\\(n,D)=1}}\sum_{B< p\leq \frac{2N}{n}}\left(1-\left|\frac{np}{N}-1\right|\right).$$

• Now, letting $N = c\sqrt{D}$ for some constant *c* we get

$$0 \ge c-1-2^{\omega(D)}\left(\frac{c}{2}+\frac{1}{4}\right)\frac{D}{\phi(D)\sqrt{D}}-\frac{2}{\sqrt{D}}\frac{D}{\phi(D)}\sum_{\substack{n\le \frac{2N}{B}\\(n,D)=1}}\sum_{B$$

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$$0 \ge c - 1 - 2^{\omega(D)} \left(\frac{c}{2} + \frac{1}{4}\right) \frac{D}{\phi(D)\sqrt{D}} - \frac{2}{\sqrt{D}} \frac{D}{\phi(D)} \sum_{\substack{n \le \frac{2N}{B} \\ (n,D)=1}} \sum_{B$$

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Eventually we have,

$$0 \ge c - 1 - 2^{\omega(D)} \left(\frac{c}{2} + \frac{1}{4}\right) \frac{D}{\phi(D)\sqrt{D}} - \frac{2c}{\log B} e^{\gamma} \left(1 + \frac{1}{\log^2\left(\frac{2N}{B}\right)}\right) \log\left(\frac{2N}{B}\right) \prod_{\substack{p > \frac{2N}{B} \\ p \mid D}} \frac{p}{p-1}.$$

For $D \ge 10^{24}$ this is a contradiction.

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Hybrid Case

We have as in the previous case

$$0 \geq c - 1 - 2^{\omega(D)} \left(\frac{c}{2} + \frac{1}{4}\right) \frac{D}{\phi(D)\sqrt{D}} - \frac{2}{\sqrt{D}} \frac{D}{\phi(D)} \sum_{\substack{n \leq \frac{2N}{n} \\ (n,D)=1}} \sum_{B$$

In this case, since we don't have to worry about the infinite case, we can have a messier version of

$$\sum_{B$$

The idea is to consider 2^{13} cases, one for each possible value of gcd (*D*, *M*) where $M = \prod_{p \le 41} p$.

- We consider the odd values and the even values separately. For odd values, the strategy of checking all the cases proves the theorem for $21853026051351495 = 2.2 \dots \times 10^{16}$.
- For even values we get the theorem for 1707159924755154870 = 1.71...×10¹⁸.
- Here we need a little extra work, we find that there are 12 outstanding cases and we deal with them one at a time.
- QED.

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Future Work

- Bringing the upper bound further down.
- Generalizing to *D*'s not necessarily fundamental discriminants.
- Generalizing to other characters, not just the Kronecker symbol.
- Improving McGown's result on norm euclidean cubic fields.