

# The primes that Euclid forgot

Enrique Treviño

joint work with Paul Pollack

USTARS at Purdue University

April 20, 2013



# There are infinitely many primes

Start with  $q_1 = 2$ . Supposing that  $q_j$  has been defined for  $1 \leq j \leq k$ , continue the sequence by choosing a prime  $q_{k+1}$  for which

$$q_{k+1} \mid 1 + \prod_{j=1}^k q_j.$$

Then 'at the end of the day', the list  $q_1, q_2, q_3, \dots$  is an infinite sequence of distinct prime numbers.

## Euclid-Mullin sequences

Since the sequence in the previous slide is not unique, Mullin suggested two possible unique sequences.

- The first is to take  $q_1 = 2$ , then define recursively  $q_k$  to be the **smallest** prime dividing  $1 + q_1 q_2 \dots q_{k-1}$ .
- i.e. 2, 3, 7, 43, 13, 53, 5, 6221671, 38709183810571, 139, 2801, 11, 17, 5471, 52662739, ...
- It is conjectured that the first Mullin sequence touches all the primes eventually.
- Not much is known of this sequence.

## Second Euclid-Mullin Sequence

- The second Mullin sequence is to take  $q_1 = 2$ , then define recursively  $q_k$  to be the **largest** prime dividing  $1 + q_1 q_2 \cdots q_{k-1}$ .
- i.e. 2, 3, 7, 43, 139, 50207, 340999, 2365347734339, 4680225641471129, . . . .
- Cox and van der Poorten (1968) proved 5, 11, 13, 17, 19, 23, 29, 31, 37, 41, 47, and 53 are missing from the first Euclid-Mullin sequence.
- Booker in 2012 showed that infinitely many primes are missing from the sequence.
- Booker's proof uses deep theorems from analytic number theory such as the Burgess inequality.

# Squares

Consider the sequence

$$2, 5, 8, 11, \dots$$

Can it contain any squares?

- Every positive integer  $n$  falls in one of three categories:  
 $n \equiv 0, 1$  or  $2 \pmod{3}$ .
- If  $n \equiv 0 \pmod{3}$ , then  $n^2 \equiv 0^2 = 0 \pmod{3}$ .
- If  $n \equiv 1 \pmod{3}$ , then  $n^2 \equiv 1^2 = 1 \pmod{3}$ .
- If  $n \equiv 2 \pmod{3}$ , then  $n^2 \equiv 2^2 = 4 \equiv 1 \pmod{3}$ .

# Squares

Consider the sequence

$$2, 5, 8, 11, \dots$$

Can it contain any squares?

- Every positive integer  $n$  falls in one of three categories:  
 $n \equiv 0, 1$  or  $2 \pmod{3}$ .
- If  $n \equiv 0 \pmod{3}$ , then  $n^2 \equiv 0^2 = 0 \pmod{3}$ .
- If  $n \equiv 1 \pmod{3}$ , then  $n^2 \equiv 1^2 = 1 \pmod{3}$ .
- If  $n \equiv 2 \pmod{3}$ , then  $n^2 \equiv 2^2 = 4 \equiv 1 \pmod{3}$ .

# Squares and non-squares

Let  $n$  be a positive integer. For  $q \in \{0, 1, 2, \dots, n-1\}$ , we call  $q$  a square mod  $n$  if there exists an integer  $x$  such that  $x^2 \equiv q \pmod{n}$ . Otherwise we call  $q$  a non-square.

- For  $n = 3$ , the squares are  $\{0, 1\}$  and the non-square is 2.
- For  $n = 5$ , the squares are  $\{0, 1, 4\}$  and the non-squares are  $\{2, 3\}$ .
- For  $n = 7$ , the squares are  $\{0, 1, 2, 4\}$  and the non-squares are  $\{3, 5, 6\}$ .
- For  $n = p$ , an odd prime, there are  $\frac{p+1}{2}$  squares and  $\frac{p-1}{2}$  non-squares.

## Least non-square

How big can the least non-square be?

Let  $g(p)$  be the least non-square modulo  $p$ .

$p$	Least non-square
3	2
5	2
7	3
11	2
13	2
17	3
19	2
23	5
29	2
31	3



$p$	Least non-square
7	3
23	5
71	7
311	11
479	13
1559	17
5711	19
10559	23
18191	29
31391	31
422231	37
701399	41
366791	43
3818929	47

# An elementary bound for $g(p)$

Let  $g(p)$  be the least non-square mod  $p$ .

## Theorem

$$g(p) \leq \sqrt{p} + 1.$$

## Proof.

Suppose  $g(p) = q$  with  $q > \sqrt{p} + 1$ . Let  $k$  be the ceiling of  $p/q$ . Then  $p < kq < p + q$ , so  $kq \equiv a \pmod{p}$  for some  $0 < a < q$ , and therefore  $kq$  is a square modulo  $p$ . Since  $q > \sqrt{p} + 1$ , then  $p/q < \sqrt{p}$ , so  $k$  is at most the ceiling of  $\sqrt{p} < \sqrt{p} + 1 < q$ . Therefore  $k$  is a square modulo  $p$ . But if  $k$  and  $kq$  are squares modulo  $p$ , then  $q$  is a square modulo  $p$ . Contradiction!  $\square$

## Consecutive squares or non-squares

Let  $H(p)$  be the largest string of consecutive nonzero squares or non-squares modulo  $p$ .

For example, with  $p = 7$  we have that the nonzero squares are  $\{1, 2, 4\}$  and the non-squares are  $\{3, 5, 6\}$ . Therefore  $H(7) = 2$ .

$p$	$H(p)$
11	3
13	4
17	3
19	4
23	4
29	4
31	4
37	4
41	5

# An elementary bound for $H(p)$

## Sketch of a proof that $H(p) < 2\sqrt{p}$ .

- The largest string of non-squares is  $< 2\sqrt{p}$ .
- Suppose  $\{a + 1, a + 2, \dots, a + H\}$  are all squares mod  $p$ .
- For  $n$  a non-square,  $na + n, \dots, na + Hn$  are non-squares.
- If  $Hn > p$ , then  $H(p) \leq n - 1$ . Therefore  $H(p) \leq \max\{p/n, n - 1, 2\sqrt{p}\}$ .
- If  $n \in (\sqrt{p}/2, 2\sqrt{p}]$  we have  $H(p) < 2\sqrt{p}$ .
- Let  $k$  be the largest integer such that  $k^2 g(p) \leq \sqrt{p}/2$ .
- $(k + 1)^2 g(p) > 2\sqrt{p} \geq 4k^2 g(p)$  implies  $(2k + 1) > 3k^2$  which is false for each  $k \geq 1$ . Therefore there is a non-square in the interval  $(\sqrt{p}/2, 2\sqrt{p}]$ , yielding  $H(p) < 2\sqrt{p}$ .



# An elementary bound for $H(p)$

## Sketch of a proof that $H(p) < 2\sqrt{p}$ .

- The largest string of non-squares is  $< 2\sqrt{p}$ .
- Suppose  $\{a + 1, a + 2, \dots, a + H\}$  are all squares mod  $p$ .
- For  $n$  a non-square,  $na + n, \dots, na + Hn$  are non-squares.
- If  $Hn > p$ , then  $H(p) \leq n - 1$ . Therefore  $H(p) \leq \max\{p/n, n - 1, 2\sqrt{p}\}$ .
- If  $n \in (\sqrt{p}/2, 2\sqrt{p}]$  we have  $H(p) < 2\sqrt{p}$ .
- Let  $k$  be the largest integer such that  $k^2 g(p) \leq \sqrt{p}/2$ .
- $(k + 1)^2 g(p) > 2\sqrt{p} \geq 4k^2 g(p)$  implies  $(2k + 1) > 3k^2$  which is false for each  $k \geq 1$ . Therefore there is a non-square in the interval  $(\sqrt{p}/2, 2\sqrt{p}]$ , yielding  $H(p) < 2\sqrt{p}$ .

# An elementary bound for $H(p)$

## Sketch of a proof that $H(p) < 2\sqrt{p}$ .

- The largest string of non-squares is  $< 2\sqrt{p}$ .
- Suppose  $\{a + 1, a + 2, \dots, a + H\}$  are all squares mod  $p$ .
- For  $n$  a non-square,  $na + n, \dots, na + Hn$  are non-squares.
- If  $Hn > p$ , then  $H(p) \leq n - 1$ . Therefore  $H(p) \leq \max\{p/n, n - 1, 2\sqrt{p}\}$ .
- If  $n \in (\sqrt{p}/2, 2\sqrt{p}]$  we have  $H(p) < 2\sqrt{p}$ .
- Let  $k$  be the largest integer such that  $k^2 g(p) \leq \sqrt{p}/2$ .
- $(k + 1)^2 g(p) > 2\sqrt{p} \geq 4k^2 g(p)$  implies  $(2k + 1) > 3k^2$  which is false for each  $k \geq 1$ . Therefore there is a non-square in the interval  $(\sqrt{p}/2, 2\sqrt{p}]$ , yielding  $H(p) < 2\sqrt{p}$ .



# An elementary bound for $H(p)$

## Sketch of a proof that $H(p) < 2\sqrt{p}$ .

- The largest string of non-squares is  $< 2\sqrt{p}$ .
- Suppose  $\{a + 1, a + 2, \dots, a + H\}$  are all squares mod  $p$ .
- For  $n$  a non-square,  $na + n, \dots, na + Hn$  are non-squares.
- If  $Hn > p$ , then  $H(p) \leq n - 1$ . Therefore  $H(p) \leq \max\{p/n, n - 1, 2\sqrt{p}\}$ .
- If  $n \in (\sqrt{p}/2, 2\sqrt{p}]$  we have  $H(p) < 2\sqrt{p}$ .
- Let  $k$  be the largest integer such that  $k^2 g(p) \leq \sqrt{p}/2$ .
- $(k + 1)^2 g(p) > 2\sqrt{p} \geq 4k^2 g(p)$  implies  $(2k + 1) > 3k^2$  which is false for each  $k \geq 1$ . Therefore there is a non-square in the interval  $(\sqrt{p}/2, 2\sqrt{p}]$ , yielding  $H(p) < 2\sqrt{p}$ .



# An elementary bound for $H(p)$

## Sketch of a proof that $H(p) < 2\sqrt{p}$ .

- The largest string of non-squares is  $< 2\sqrt{p}$ .
- Suppose  $\{a + 1, a + 2, \dots, a + H\}$  are all squares mod  $p$ .
- For  $n$  a non-square,  $na + n, \dots, na + Hn$  are non-squares.
- If  $Hn > p$ , then  $H(p) \leq n - 1$ . Therefore  $H(p) \leq \max \{p/n, n - 1, 2\sqrt{p}\}$ .
- If  $n \in (\sqrt{p}/2, 2\sqrt{p}]$  we have  $H(p) < 2\sqrt{p}$ .
- Let  $k$  be the largest integer such that  $k^2 g(p) \leq \sqrt{p}/2$ .
- $(k + 1)^2 g(p) > 2\sqrt{p} \geq 4k^2 g(p)$  implies  $(2k + 1) > 3k^2$  which is false for each  $k \geq 1$ . Therefore there is a non-square in the interval  $(\sqrt{p}/2, 2\sqrt{p}]$ , yielding  $H(p) < 2\sqrt{p}$ .



# The primes that Euclid forgot

## Theorem

*Let  $Q_1, Q_2, \dots, Q_r$  be the smallest  $r$  primes omitted from the second Euclid-Mullin sequence, where  $r \geq 0$ . Then there is another omitted prime smaller than*

$$12^2 \left( \prod_{i=1}^r Q_i \right)^2.$$

Using the deep results of Burgess, Booker showed that the exponent can be replaced with any real number larger than

$\frac{1}{4\sqrt{e-1}} = 0.178734\dots$ , provided that  $12^2$  is also replaced by a possibly larger constant.

## Proof Sketch

Let  $X = 12^2 \left( \prod_{i=1}^r Q_i \right)^2$ . Assume there is no prime missing from  $[2, X]$  besides  $Q_1, \dots, Q_r$ . Let  $p$  be the prime in  $[2, X]$  that is last to appear in the sequence  $\{q_i\}$ .

Let  $n$  be such that  $q_n = p$ . Then  $1 + q_1 \dots q_{n-1} = Q_1^{e_1} \dots Q_r^{e_r} p^e$ . Let  $d$  be the smallest number satisfying the following conditions:

- (i)  $d \equiv 1 \pmod{4}$ ,
  - (ii)  $d \equiv -1 \pmod{Q_1 \dots Q_r}$
  - (iii)  $d$  and  $-1$  are either both squares mod  $p$  or both non-squares mod  $p$ .
- Using the Chinese Remainder Theorem and the bound on  $H(p)$  yields that  $d \leq X$ .
  - Given the conditions on  $d$  and using that  $d \leq X$  shows that  $d$  is both a square and a non-square mod  $1 + q_1 q_2 \dots q_{n-1}$ . Contradiction!

# Thank you!